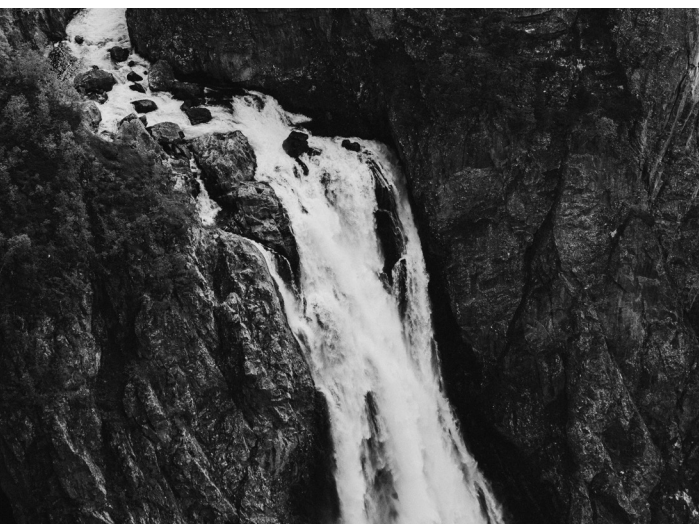


Registration documentation engine ■



Bringing funds to market faster

Time-to-market is critical for the fund industry. In order to increase assets under management, asset managers must bring attractive products to the market, faster than their competitors. They must be nimble to meet markets' expectations and deliver tailored investment solutions.

The administrative burden of an updated fund literature approval process is a major hurdle to bring new products to markets swiftly. SEQVOIA has created the **Registration documentation engine**, a state-of-the-art Software as a Service (SaaS) to accelerate your document packages' preparation for regulatory approval. It can be seamlessly integrated into operating models and will support a streamlined, controlled and efficient approval process.

Whether you manage large Luxembourg- or Ireland-domiciled UCITS with hundreds of compartments or you are a small *société de gestion* managing a large *Fonds Commun de Placement (FCP)* in France, you are bound to update your fund literature regularly, as your product range evolves. Imagine if you could reduce the hassle of this task to a minimum; if you could download from a system, accessible from anywhere and at any time, a package of updated and coordinated documents ready to be filed with the regulator. What would you achieve with the time saved by such a technologic enabler?

Added-value ■

All data, documents and approval cycles in one place

Feed your updated prospectus data (or the document itself) into the system and let the **Registration documentation engine** guide you towards the relevant approval cycle that has to be carried out. The system will highlight potential additional data requirements and will summarise all related tasks which need to be undertaken in order to complete the approval cycle. Record all approved and generated documents from the system.

Consistency through reusability

Standardise your fund literature into different document templates. Update them with ease and ensure consistency across all future investment products' documents. Configure bespoke reports and reuse all approved data from the system to support your other compliance and operational processes.

Generate all documents with a single button push

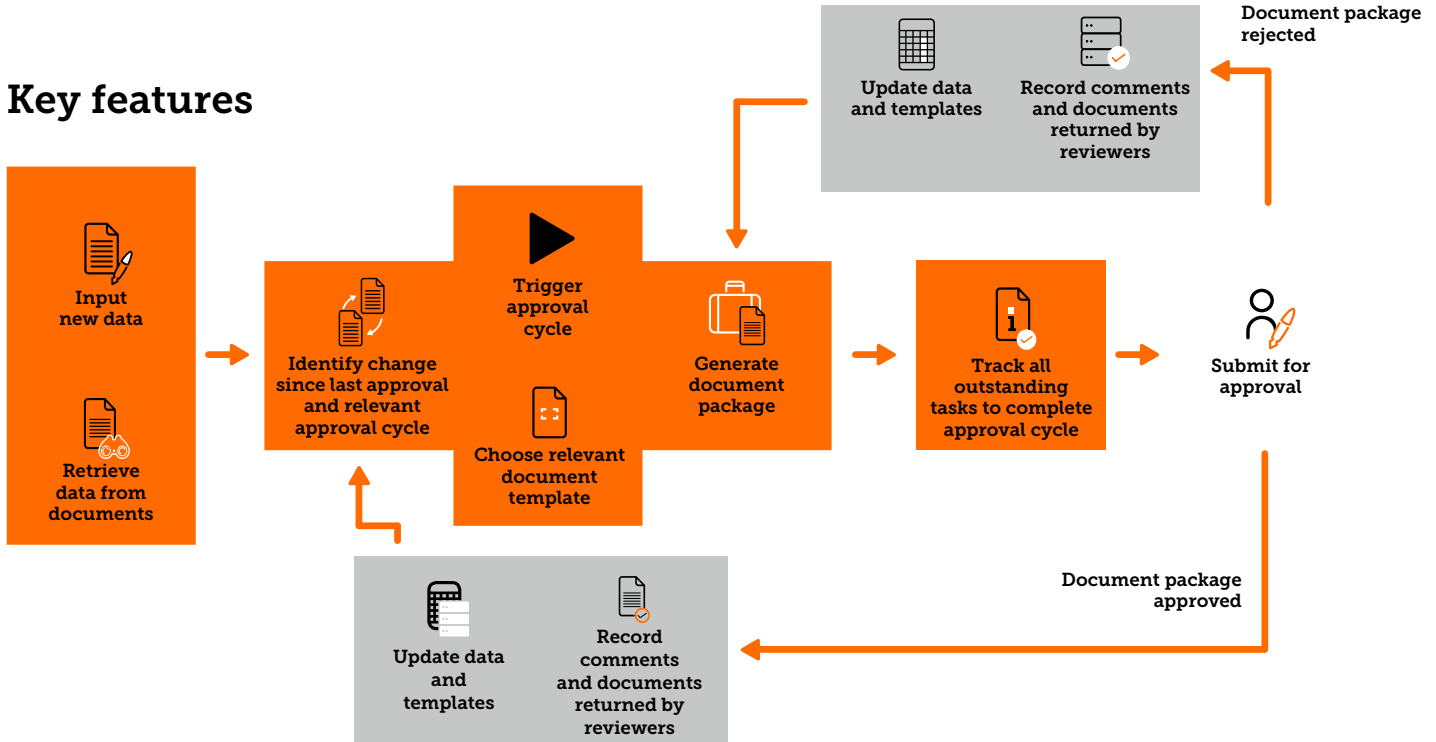
Choose the relevant templates for each document required as part of a regulatory package, trigger its production and receive a ready to review version. Free up time for your product and legal teams to focus on added-value tasks instead of filling out regulators' questionnaires.

Reduced time-to-market

Cut down document packages' preparation time and accelerate regulator's review by delivering consistent and reliable fund documentation. Answer any follow-up questions with ease as you know at any time, what data has been sent within each document.

Registration documentation engine.

Key features



- Collect data from multiple channels:
 - **fund-D** prospectuses,
 - Direct data input via user interfaces,
 - Automated data import, or
 - SEQVOIA's **Fund documentation compliance** engine to extract unstructured data from your fund literature.
- Autonomously compare received data with latest approved data set. Identify changes and recommend approval cycle with the relevant regulator.
- Update templates to comply with new regulatory requirements and/or to modify your whole fund literature.
- Generate regulators' questionnaires, prospectuses, articles of incorporation, management regulations, fund structure charts, board resolutions and many more documents in a blink of an eye.
- Record all document versions and associated data shared with third party legal counsel and regulator. Register any comment and/or document returned by external reviewers and approvers.
- Use the dashboard to track all tasks required to complete an approval cycle (internal approvals, data requirements, notifications processes, etc.)
- Use the **Registration documentation engine** to analyse the complete lifecycle of your investment products.

seqvoia

SEQVOIA is a leading and influential RegTech which has been helping its customers to create and manage regulatory and statutory documents since 2012.

Through the **Registration documentation engine**, SEQVOIA now supports its customers while they achieve a quicker and smoother time-to-market.

contact.

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